

# Management & Supervisory Board Members in German Financial Institutions

Fit & Proper, regulatory & liability framework

*This training is suitable as proof of further training within the meaning of Section 25c KWG/ Section 25d KWG!*

## TOPICS

- Regulatory framework for financial institutions
- Responsibilities, duties of members of the management board/ supervisory board
- Fit and Proper - Regulatory requirements on experience, (collective) suitability, reputation and independence
- Liability Framework and Business/Legal Judgement Rule
- Current regulatory developments/Selected relevant legal regulations
- Remuneration systems of management board/ supervisory board members

## YOUR SPEAKERS



**Dr Lars Hinrichs, LL.M.**

Partner, Attorney at law,  
Employment Law & Pensions  
Deloitte Legal Rechtsanwalts-  
gesellschaft mbH, Hamburg



**Dr Volker Schulenburg**

Partner, Attorney at law,  
Corporate/M&A,  
Deloitte Legal Rechtsanwalts-  
gesellschaft mbH, Hamburg

# Online Training - Management & Supervisory Board Members

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## Aims and objectives

The aim of the seminar is to equip management and supervisory board members with comprehensive knowledge and understanding of the current supervisory law and corporate law related legal and liability framework for their daily operational activities. By attending, you will gain insights into current regulatory requirements and developments as well as best practices in documenting fit & proper requirements. Participation will equip you with the knowledge and skills necessary to assess and ensure that board members meet regulatory standards of competence, integrity and suitability. Additionally, the seminar will highlight best practices for governance, compliance and risk management in the context of board duties and effective collaboration.

- Module I: "Management Board Members in German Financial Institutions" will take place from 9:00 am to 12.30 pm.
- Modul II: "Supervisory Board Members in German Financial Institutions" will take place on the same day from 1:30 pm to 5:00 pm.
- Both trainings can be booked together or seperately.

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## Who should attend?

This seminar is intended for current and prospective members of supervisory and/or management boards, senior executives, compliance officers, regulatory professionals, governance specialists and supervisory or management board assistants.

## YOUR SPEAKERS



**Dr Lars Hinrichs, LL.M.** advises national and international clients on all human resources and labour law related issues of German Employment and Labour

Law. He is specialised in the design, implementation and modification of remuneration systems in regulated and non-regulated business sectors (including company pension systems), in the planning and implementation of restructuring measures and transformation processes and in the labour law consultancy of M&A transactions. Lars regularly holds lectures and publishes labour law and supervisory law related articles in HR and legal journals.

Dr. Hinrichs works for Deloitte Legal since 2019. Before his entry to Deloitte Legal, Lars had worked since 2007 as lawyer in the Labour Law departments of other leading international law firms in Frankfurt and Hamburg.



**Dr Volker Schulenburg** advises German and international clients on issues of corporate and capital markets law as well as on group, compliance and corporate

governance matters. He has been an attorney since 2004 and has extensive expertise in assisting with questions related to corporate governance, reorganizations and other structural measures. His advice and economic understanding is also in demand from family businesses, shareholders and board members, not only in ongoing advice but also in strategic decisions and M&A transactions. His practice also includes representation in and out of court in corporate and liability (D+O) litigation, shareholder disputes and post-M&A proceedings.

Before joining Deloitte Legal, Dr. Schulenburg worked for leading German law firms.

## Your programme at a glance

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### Module I:

#### Management Board Members

09:00 am to 12:30 pm

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#### Legal framework applicable to members of the management board under German law

- Organisational and control duties (Vertical/horizontal duties)
- Specific duties under German Banking supervisory law
- Fit & proper requirements (Sec. 25c KWG, (including new insights from BaFin's modified fit & proper circular letter of October 2025, EBA-Guidelines)

#### Liability framework and Business Judgement rule:

- Civil law legal consequences
- Banking supervisory law legal consequences
- Brief digression: Criminal law legal consequences

#### Risk minimisation and risk prevention (including D&O insurance)

#### Collaboration with supervisory board and external stakeholders

#### Current regulatory developments

- Revised guidelines on internal governance under CRD and MaRisk
- Management of ESG risks (incl. CSRD and BRUBEG)
- German Corporate Governance Codex
- DORA

#### Remuneration of management board members in institutions (incl. current developments of appropriateness tests)

### Module II:

#### Supervisory Board Members

01:30 pm to 05:00 pm

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#### Regulatory framework for financial institutions

#### Responsibilities and functions of the supervisory board members

#### Organizational and control duties of the supervisory board

#### Liability Framework

- Legal rules and legal principles,
- Tasks and Responsibilities,
- Business Judgement Rule (BJR) and Legal Judgement Rule (LJR)

#### Fit & Proper: Regulatory Requirements and Legal Consequences

#### Collaboration with the management board members and external stakeholders

#### Remuneration of management board and supervisory board members in institutions

#### Selected relevant legal regulations

## REGISTRATION UNDER

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## REGISTRATION FORM

Yes, I will attend

- Full day
- 13 November 2026, 9:00 am to 12:30 pm:  
Management Board Member, Webcode 26113011
- 13 November 2026, 1:30 pm to 5:00 pm:  
Supervisory Board Member, Webcode 26113012
- Yes, I agree that FORUM Institut may inform me about events by:  
 email; and/or  telephone.  
I may withdraw my consent at any time.

\_\_\_\_\_  
Name

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Position, department

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Company

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Street

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Post code, city, country

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Tel. no./Fax no.

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E-mail

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Contact person at office

\_\_\_\_\_  
Date, signature

### Date

Friday, 13 November 2026 - online  
9:00 am to 12:30 pm, Management Board Members  
1:30 pm to 5:00 pm, Supervisory Board Members

### Fee

Full day: € 1200.00 (+ German VAT)  
Morning: € 720.00 (+ German VAT)  
Afternoon: € 720.00 (+ German VAT)

The fee includes high-quality course material for download, a participation certificate and access to our Learning Space.

### How our online events work

- Our online events are live and interactive. They can be accessed in the Learning Space, where you will also find the programme, the list of participants and all relevant documents.
- You can access the Learning Space with the same account you use for the customer portal.
- The free pre-meeting helps you resolve any technical issues before the event.
- Continuous support during the online event guarantees that you concentrate entirely on the training.

## CANCELLATION POLICY

Our general terms and conditions (as of 01 June 2024) apply and are available upon request. We can send them to you at any time. Alternatively, you can access them online at [www.forum-institut.com/t&c](http://www.forum-institut.com/t&c)

## YOUR CONTACT



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